

ANNEXURE 'D' TO BOARD'S REPORT

Form No. MGT – 9

EXTRACT OF ANNUAL RETURN

As on the financial year ended on 31.03.2019

[Pursuant to Section 92(3) of the Companies Act, 2013 and Rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

i) CIN	L99999MH1985PLC136835
ii) Registration Date	19 th April, 1985
iii) Name of the Company	Sarthak Global Limited
iv) Category/ Sub-Category of the Company	Public Company Limited by shares/ Indian Non Government Company
v) Address of the Registered office and contact details	609, Floor 6, West Wing, Tulsiani Chambers, Nariman Point, Mumbai Maharashtra, 400021 Contact No.: 98275 22189
vi) Whether listed company (Yes/ No)	Yes
vii) Name, address and contact details of Registrar & Transfer Agent, if any	Sarthak Global Limited, 170/10 Film Colony, R.N.T. Marg, Indore – 452 001 (MP) Phone No. – 0731-4279626

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

(All the business activities contributing 10 % or more of the total turnover of the company shall be stated)

S. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
1.	Wholesale of agriculture raw materials	4620	99.24%
2.	Registrar and Share Transfer Agency Services	7499	0.76%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

S. NO.	NAME AND ADDRESS OF THE COMPANY	CIN/GLN	HOLDING/ SUBSIDIARY/ ASSOCIATE	% of shares held	Applicable Section
1	-	-	-	-	-

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

i) Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year[As on 01-April-2018]				No. of Shares held at the end of the year[As on 31-March-2019]				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoter s									
(1) Indian									
a) Individual/HUF	—	40,000	40,000	1.33	—	40,000	40,000	1.33	—
b) Central Govt	—	—	—	—	—	—	—	—	—
c) State Govt(s)	—	—	—	—	—	—	—	—	—
d) Bodies Corp.	5,56,100	15,08,800	20,64,900	68.83	6,32,800	15,08,800	21,41,600	71.39	2.56
e) Banks / FI	—	—	—	—	—	—	—	—	—
f) Any other	—	—	—	—	—	—	—	—	—
Sub-total (A) (1) :-	5,56,100	15,48,800	21,04,900	70.16	6,32,800	15,48,800	21,81,600	72.72	2.56
(2) Foreign	—	—	—	—	—	—	—	—	—
a) NRIs-Individuals	—	—	—	—	—	—	—	—	—
b) Other-Individuals	—	—	—	—	—	—	—	—	—
c) Bodies Corp.	—	—	—	—	—	—	—	—	—
d) Banks/ FI	—	—	—	—	—	—	—	—	—
e) Any Other	—	—	—	—	—	—	—	—	—
Sub-total (A) (2):	—	—	—	—	—	—	—	—	—
Total shareholding of Promoter (A) = (A) (1) + (A) (2)	5,56,100	15,48,800	21,04,900	70.16	6,32,800	15,48,800	21,81,600	72.72	2.56
B. Public Shareholding									
1. Institutions	—	—	—	—	—	—	—	—	—
a) Mutual Funds	—	—	—	—	—	—	—	—	—
b) Banks / FI	—	—	—	—	—	—	—	—	—
c) Central Govt	—	—	—	—	—	—	—	—	—
d) State Govt(s)	—	—	—	—	—	—	—	—	—
e) Venture Capital Fund	—	—	—	—	—	—	—	—	—
f) Insurance Companies	—	—	—	—	—	—	—	—	—
g) FIIs	—	—	—	—	—	—	—	—	—
h) Foreign Venture Capital Funds	—	—	—	—	—	—	—	—	—
i) Others (specify)	—	—	—	—	—	—	—	—	—
Sub-total (B) (1):-	—	—	—	—	—	—	—	—	—

Category of Shareholders	No. of Shares held at the beginning of the year[As on 01-April-2018]				No. of Shares held at the end of the year[As on 31-March-2019]				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
2. Non-Institutions									
a) Bodies Corp.									
i) Indian	2,20,037	3,26,800	5,46,837	18.227	1,44,637	3,26,800	4,71,437	15.71	(2.52)
ii) Overseas	—	—	—	—	—	—	—	—	—
b) Individuals	—	—	—	—	—	—	—	—	—
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	79,398	2,22,100	3,01,498	10.05	76,587	2,16,500	2,93,087	9.77	(0.28)
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	—	40,000	40,000	1.33	—	40,000	40,000	1.33	—
c) Others (specify)									
i) Hindu Undivided Families	6,765	—	6,765	0.22	11,076	2,800	13,876	0.46	0.24
ii) Clearing Members	—	—	—	—	—	—	—	—	—
iii) Non - Resident Indians	—	—	—	—	—	—	—	—	—
Sub-total (B) (2):-	3,06,200	5,88,900	8,95,100	29.83	2,32,300	5,86,100	8,18,400	27.27	(2.56)
Total Public Shareholding (B) = (B) (1) + (B) (2)	3,06,200	5,88,900	8,95,100	29.83	2,32,300	5,86,100	8,18,400	27.27	(2.56)
C. Shares held by Custodian for GDRs & ADRs	—	—	—	—	—	—	—	—	—
Grand Total (A + B + C)	8,62,300	21,37,700	30,00,000	100	8,65,100	21,34,900	30,00,000	100	—

ii) Shareholding of Promoter -

Sl No.	Shareholder's Name	Shareholding at the beginning of the year (As on 01.04.2018)			Share holding at the end of the year (As on 31.03.2019)			% change in share holding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
1	Sanjay Jhalani	40,000	1.33	—	40,000	1.33	—	—
2	Deepti Properties Pvt. Ltd.	1,00,000	3.33	—	1,00,000	3.33	—	—
3	Mahi Properties Pvt. Ltd.	1,00,000	3.33	—	1,00,000	3.33	—	—
4	Mani Real Estate Pvt. Ltd.	1,00,000	3.33	—	1,00,000	3.33	—	—
5	Mahakosh Amusement Pvt. Ltd.	1,00,000	3.33	—	1,00,000	3.33	—	—
6	Mahakosh Real Estate Pvt. Ltd.	1,00,000	3.33	—	1,00,000	3.33	—	—
7	Deepti Housing Pvt. Ltd.	2,00,000	6.67	—	2,00,000	6.67	—	—
8	Gagandeep Exports Pvt. Ltd.	2,50,000	8.33	—	3,26,700	10.89	—	2.56
9	Mahakosh Papers Pvt. Ltd.	2,50,000	8.33	—	2,50,000	8.33	—	—
10	Greater Eastern Infra. Pvt. Ltd.	1,00,000	3.33	—	1,00,000	3.33	—	—
11	Rehva Estate Pvt. Ltd.	1,00,000	3.33	—	1,00,000	3.33	—	—
12	Sarthak Industries Ltd.	1,08,800	3.63	—	1,08,800	3.63	—	—
13	MID India Commodities Pvt. Ltd.	1,50,000	5.00	—	1,50,000	5.00	—	—
14	Bunkim Fin. And Invest. Pvt. Ltd.	1,50,000	5.00	—	1,50,000	5.00	—	—
15	Jayati Fin. And Invest. Pvt. Ltd.	1,50,000	5.00	—	1,50,000	5.00	—	—
16	Promise Securities Pvt. Ltd.	1,06,100	3.54	—	1,06,100	3.54	—	—
	TOTAL	21,04,900	70.16	—	21,81,600	72.72	—	2.56

iii) Change in Promoters' Shareholding (please specify, if there is no change)

Sl. No.	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year (As on 01 st April, 2018)	21,04,900	70.16	21,04,900	70.16
	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase /decrease (e.g. allotment /transfer / bonus/ sweat equity etc): 31.03.2019 - Increase by Transfer	76,700	2.56	21,81,600	72.72
	At the end of the year (As on 31st March, 2019)	21,81,600	72.72	21,81,600	72.72

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoter and Holders of GDRs and ADRs):

Sl. No.	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the Company
1.	RUCHI SOYA INDUSTRIES LIMITED				
	At the beginning of the year (As on 01.04.2018)	1,19,300	3.98	1,19,300	3.98
	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	No change during the year			
	At the end of the year (As on 31.03.2019)	1,19,300	3.98	1,19,300	3.98
2.	RUCHI INFRASTRUCTURE LTD.				
	At the beginning of the year (As on 01.04.2018)	98,200	3.27	98,200	3.27
	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	No change during the year			
	At the end of the year (As on 31.03.2019)	98,200	3.27	98,200	3.27
3.	ANIK INDUSTRIES LIMITED				
	At the beginning of the year (As on 01.04.2018)	85,200	2.84	85,200	2.84
	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	No change during the year			
	At the end of the year (As on 31.03.2019)	85,200	2.84	85,200	2.84
4.	NEHA SECURITIES PRIVATE LIMITED				
	At the beginning of the year (As on 01.04.2018)	69,100	2.30	69,100	2.30
	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer/bonus/ sweat equity etc):	No change during the year			
	At the end of the year (As on 31.03.2019)	69,100	2.30	69,100	2.30
5.	MAHAKOSH HOLDINGS PVT. LTD.				
	At the beginning of the year (As on 01.04.2018)	66,600	2.22	66,600	2.22
	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	No change during the year			
	At the end of the year (As on 31.03.2019)	66,600	2.22	66,600	2.22
6.	SHAILENDRA JHALANI				
	At the beginning of the year (As on 01.04.2018)	40,000	1.33	40,000	1.33

	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment/transfer/bonus/ sweat equity etc):	No change during the year			
	At the end of the year (As on 31.03.2019)	40,000	1.33	40,000	1.33
7.	SHAHRA BROTHERS PVT. LTD.				
	At the beginning of the year (As on 01.04.2018)	14,800	0.49	14,800	0.49
	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase /decrease (e.g. allotment/transfer/bonus/ sweat equity etc):	No change during the year			
	At the end of the year (As on 31.03.2019)	14,800	0.49	14,800	0.49
8.	SUMAN AGRITECH LIMITED				
	At the beginning of the year (As on 01.04.2018)	13,100	0.44	13,100	0.44
	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase /decrease (e.g. allotment/transfer/bonus/ sweat equity etc):	No change during the year			
	At the end of the year (As on 31.03.2019)	13,100	0.44	13,100	0.44
9.	ABHA SHAHRA				
	At the beginning of the year (As on 01.04.2018)	9,000	0.3	9,000	0.3
	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment/transfer/bonus/ sweat equity etc):	No change during the year			
	At the end of the year (As on 31.03.2019)	9,000	0.3	9,000	0.3
10.	MRIDULA SHAHRA				
	At the beginning of the year (As on 01.04.2018)	9,000	0.3	9,000	0.3
	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc):	No change during the year			
	At the end of the year (As on 31.03.2019)	9,000	0.3	9,000	0.3

v) Shareholding of Directors and Key Managerial Personnel:

Sl. No.	Shareholding of each Directors and each Key Managerial Personnel	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the Company	No. of shares	% of total shares of the Company
1.	Mr. Sunil Gangrade				
	At the beginning of the year (As on 01.04.2018)	900	0.03	900	0.03
	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment/transfer/bonus/ sweat equity etc) :	No change during the year			

	At the end of the year (As on 31.03.2019)	900	0.02	900	0.02
2.	Mr. Yogender Mohan Sharma				
	At the beginning of the year (As on 01.04.2018)	-	-	-	-
	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	No change during the year			
	At the end of the year (As on 31.03.2019)	-	-	-	-
3.	Mrs. Swati Sudesh Oturkar				
	At the beginning of the year (As on 01.04.2018)	-	-	-	-
	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	No change during the year			
	At the end of the year (As on 31.03.2019)	-	-	-	-
4.	Mr. Ritesh Sinvhal				
	At the beginning of the year (As on 01.04.2018)	-	-	-	-
	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	No change during the year			
	At the end of the year (As on 31.03.2019)	-	-	-	-
5.	Mr. Pinkesh Gupta (CFO)				
	At the beginning of the year (As on 01.04.2018)	-	-	-	-
	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	No change during the year			
	At the end of the year (As on 31.03.2019)	-	-	-	-
6.	Ms. Pratibha Joshi (Company Secretary) (ceased w.e.f. 30.04.2018)				
	At the beginning of the year (As on 01.04.2018)	-	-	-	-
	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase/decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	No change during the year			
	At the end of the year (As on 31.03.2019)	-	-	-	-
7.	Ms. Ragini Chaturvedi				
	(Company Secretary) (Appointed w.e.f. 01.05.2018)				
	At the beginning of the year (As on 01.04.2018)	-	-	-	-
	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase/decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	No change during the year			
	At the end of the year (As on 31.03.2019)	-	-	-	-

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment

(In ₹)

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year (As on 01.04.2018)				
i) Principal Amount	72,58,945	12,34,41,335	—	13,07,00,280
ii) Interest due but not paid	—	—	—	—
iii) Interest accrued but not due	—	—	—	—
Total (i+ii+iii)	72,58,945	12,34,41,335	—	13,07,00,280
Change in Indebtedness during the financial year				
* Addition	—	11,27,98,465	—	11,27,98,465
* Reduction	(53,58,784)	—	—	(53,58,784)
Net Change	(53,58,784)	11,27,98,465	—	10,74,39,681
Indebtedness at the end of the financial year (As on 31.03.2019)				
i) Principal Amount	19,00,161	23,62,39,800	—	23,81,39,961
ii) Interest due but not paid	—	—	—	—
iii) Interest accrued but not due	—	—	—	—
Total (i+ii+iii)	19,00,161	23,62,39,800	—	23,81,39,961

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL-**A. Remuneration to Managing Director, Whole-time Directors and/or Manager:**

Sl. No.	Particulars of Remuneration	Name of MD/WTD/ Manager	Total Amount
		Mr. Sunil Gangrade	
1	Gross salary		
	(a) Salary as per provisions contained in Section 17(1) of the Income-tax Act, 1961	4,72,500	4,72,500
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	-	
2	Stock Option	-	
3	Sweat Equity	-	
4	Commission	-	
	- as % of profit	-	
	- others, specify..	-	
5	Others, please specify	-	
	Total (A)	₹ 4,72,500	₹ 4,72,500
	Ceiling as per the Act	₹ 84,00,000	₹ 84,00,000

B. Remuneration to other Directors

Sl. No.	Particulars of Remuneration	Name of Directors			Total Amount
		Mr. Yogender Mohan Sharma	Mr. Ritesh Sinvhal	Mrs. Swati Sudesh Oturkar	
1	Independent Directors				
	Fee for attending board committee meetings	6,000	6,000	-	12,000
	Commission	-	-	-	-
	Others, please specify	-	-	-	-
	Total (1)	6,000	6,000	-	12,000
2	Other Non-Executive Directors				
	Fee for attending board committee meetings	-	-	6,000	6,000
	Commission	-	-	-	-
	Others, please specify	-	-	-	-
	Total (2)	-	-	-	-
	Total (B)=(1+2)	6,000	6,000	6,000	18,000
	Total Managerial Remuneration (Total of A and B)*				4,90,500
	Overall Ceiling as per the Act**				₹ 84,00,000

* Total remuneration of Whole-time Director and other Directors including sitting fee (being the total of A and B)

** As per the provisions of Sub Section (2) read with sub section (5) of Section 197 of the Companies Act, 2013, sitting fees paid to directors are to be excluded while calculating the overall managerial remuneration.

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD

Sl. No.	Particulars of Remuneration	Key Managerial Personnel		Total
		Mr. Pinkesh Gupta (CFO)	Ms. Ragini Chaturvedi (CS)	
1	Gross salary			
	(a) Salary as per provisions contained in Section 17(1) of the Income-tax Act, 1961	2,57,400	36,000	2,93,400
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission	-	-	-
	- as % of profit			
	others, specify..	-	-	-
5	Others, please specify	-	-	-
	Total	2,57,400	36,000	2,93,400

VI. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
A. COMPANY					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
B. DIRECTORS					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
C. OTHER OFFICERS IN DEFAULT					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-

For and on behalf of the Board of Directors

SUNIL GANGRADE

Whole-time Director

DIN : 00169221

Place : Indore

Dated : 13th August, 2019

SWATI SUDESH OTURKAR

Director

DIN : 07024890